

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Kenneth A. Davis

DATE: November 29, 2006

SERIAL NO.: 09/996,328

GROUP ART UNIT: 3754

FILED: November 28, 2001

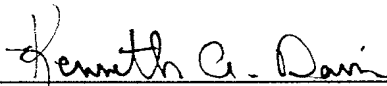
EXAMINER: CARTAGENA, Melvin A.

FOR: "Method and Apparatus for Disinfecting a Refrigerated Water Cooler Reservoir"

ATTORNEY DOCKET NO.: P01252US (98134.6P)

STATEMENT REGARDING LACK OF DECEPTIVE INTENT

I am a co-inventor of the claims as set out in the response dated February 10, 2004. The omission of my name from the patent application as filed occurred without any deceptive intention on my part.


By: Kenneth A. Davis

Date: 11/29/2006

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**Declaration
Submitted
With Initial
Filing

OR

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

P012252US (98134.6P)

First Named Inventor

DAVIS, Kenneth A.

COMPLETE IF KNOWN

Application Number

09/996,328

Filing Date

11-28-2001

Art Unit

3727

Examiner Name

Melvin A. Cartanga

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**"METHOD AND APPARATUS FOR DISINFECTING A REFRIGERATED WATER COOLER
RESERVOIR"***(Title of the Invention)*

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

11/28/2001

as United States Application Number or PCT International

Application Number

09/996,328

and was amended on (MM/DD/YYYY)

02/10/2004

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|--|---------|-------------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | Yes | No |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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DECLARATION — Utility or Design Patent Application

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|---|--------------------|---|--------------------------|
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| Name | | | |
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| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. | | | |
| NAME OF SOLE OR FIRST INVENTOR: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) Kenneth A. | | Family Name or Surname DAVIS | |
| Inventor's Signature <i>Kenneth A. Davis</i> | | Date 12/19/2006 | |
| Residence: City Mandeville | State LA | Country US | Citizenship US |
| Mailing Address 2214 Dupard St. | | | |
| City Mandeville | State LA | ZIP 70448 | Country US |
| NAME OF SECOND INVENTOR: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) James J. | | Family Name or Surname SHELTON | |
| Inventor's Signature | | Date | |
| Residence: City Alpine | State TX | Country US | Citizenship US |
| Mailing Address 5 Sunny Glen | | | |
| City Alpine | State TX | ZIP 79830 | Country US |
| <input type="checkbox"/> Additional inventors or a legal representative are being named on the supplemental sheet(s) PTO/SB/02A or 02LR attached hereto. | | | |

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NAME OF SOLE OR FIRST INVENTOR:



A petition has been filed for this unsigned inventor

Given Name

(first and middle (if any))

Kenneth A.

Family Name

or Surname

DAVIS

Inventor's

Signature

Date

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NAME OF SECOND INVENTOR:



A petition has been filed for this unsigned inventor

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(first and middle (if any))

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Family Name

or Surname

SHELTON

Inventor's

Signature

Date

12/14/06

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Country

US



Additional inventors or a legal representative are being named on the supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Kenneth A. Davis

DATE: May 24, 2005

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ATTORNEY DOCKET NO.: P01252US (98134.6P)

* * * * *

CONSENT OF ASSIGNEE TO CHANGE OF INVENTORSHIP

S.I.P. Technologies, L.L.C. hereby consents to the addition of Kenneth A. Davis as an inventor in the above referenced application.

By: Kenneth A. Davis

Name: Kenneth A. Davis

Title: President / Manager

Date: 5-26-2005